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## BEFORE THE ARIZONA CORPORATION COMMISSION

2009 OCT 15 P 1:33

COMMISSIONERS

KRISTIN K. MAYES, Chairman  
GARY PIERCE  
PAUL NEWMAN  
SANDRA D. KENNEDY  
BOB STUMP

AT CLERK'S OFFICE  
DOCKET CONTROL

In the matter of:

DAVID W. COLE and SIIRI COLE, husband and wife

HIGHLINE ESTATES, LLC, an Arizona limited liability company

MUTUAL FINANCIAL SERVICES, LLC (d/b/a MFS Real Estate Division and MFS Investments), an Arizona limited liability company

DREAM CRAFT, LLC, an Arizona limited liability company

SCOT A. OGLESBY (d/b/a Arizona Asset Management) and LORI ANN OGLESBY, husband and wife

RANDY K. WARD (CRD # 4137944) (d/b/a Arizona Asset Management) and SHARON WARD, husband and wife

Respondents,

DOCKET NO: S-20656A-09-0074

**NOTICE OF COMPLIANCE  
WITH PROCEDURAL ORDER**Arizona Corporation Commission  
**DOCKETED**

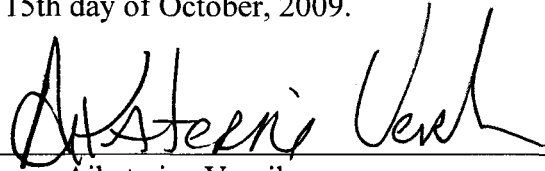
OCT 15 2009

DOCKETED BY

On May 11, 2009, by procedural order, the Administrative Law Judge ordered the parties to exchange copies of their exhibits and witness lists by October 14, 2009. The Division hereby gives notice that on October 14, 2009, copies of the Division's preliminary witness list and exhibits were made available to the Respondents for pick-up at the Division's offices. Courtesy copies of the same were provided to the Administrative Law Judge.

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1 RESPECTFULLY SUBMITTED this 15th day of October, 2009.

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4 Aikaterine Vervilos  
5 Attorney for the Securities Division of the  
6 Arizona Corporation Commission

7 ORIGINAL and 13 copies of the foregoing  
8 filed this 15<sup>th</sup> day of October, 2009 with:

9 Docket Control  
10 Arizona Corporation Commission  
11 1200 W. Washington St.  
12 Phoenix, AZ 85007

13 COPY of the foregoing hand-delivered  
14 this 15th day of October, 2009, to:

15 Administrative Law Judge Marc Stern  
16 Arizona Corporation Commission  
17 1200 W. Washington St.  
18 Phoenix, AZ 85007

19 COPY of the foregoing mailed and e-mailed  
20 this 15<sup>th</sup> day of October, 2009, to:

21 Scot Oglesby  
22 515 East Carefree Highway, No. 824  
23 Phoenix, AZ 85085  
24 Respondent

25 Randy Ward  
26 3153 W Whitman Dr.  
Anthem, AZ 85086  
Respondent

